

## Electronic Acknowledgement Receipt

EFS ID:	1085885
Application Number:	10064745
Confirmation Number:	6610
Title of Invention:	Convertible financial instruments with contingent payments
First Named Inventor:	James R. Birle
Customer Number:	57380
Filer:	Carl Oppedahl
Filer Authorized By:	
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### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part	Pages
1	Amendment - After Non-Final Rejection	whitepaper.pdf	2091709	no	32

<b>Warnings:</b>					
<b>Information:</b>					
2	Amendment - After Non-Final Rejection	20060620-response.pdf	152690	no	15
<b>Warnings:</b>					
<b>Information:</b>					
Total Files Size (in bytes):		2244399			
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><b>New Applications Under 35 U.S.C. 111</b> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><b>National Stage of an International Application under 35 U.S.C. 371</b> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p>					